

ASIC OTC Derivatives Trade Reporting Pricing Schedule - Standard

Set-up Fees		
Initial set up cost	\$0	
Ongoing Fees		
	DTCC*	TRAction
Monthly Account Management Fee	\$350	\$2,000
Number of Open Positions / Trades at EOM	Cost per position in tier	-
0 – 100	\$6.50	-
101 – 1,000	\$3.75	-
1,001 – 10,000	\$2.25	-
10,001 – 30,000	\$1.00	-
30,001 – 100,000	\$0.30	-
100,001+	\$0.14	-
Add-on Fees (optional)		
Delegated reporting (per reporting entity, monthly)	-	\$200
LEI management for delegated reporting (per LEI, annually)	-	\$200

Note: All amounts are expressed in Australian dollars and are exclusive of GST (as referred to in A New Tax System (Goods and Services Tax) Act 1999 (Cth)), consumption tax, value added tax or similar tax, which will be charged to (and payable by) the User where applicable.

* DTCC fees are payable directly to DTCC under contract. Figures here are provided for reference only – please refer to the official DTCC pricing structure available here: <https://www.dtcc.com/repository-and-derivatives-services/repository-services/asic>

Monthly Costing Example

Open Positions at end of month	3,000	1,500	1,000	100	40	10
Cost of open positions	\$11,525	\$5,900	\$4,025	\$650	\$260	\$65
DTCC Management Fee	\$350	\$350	\$350	\$350	\$350	\$350

TRAction Management Fee	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000
Monthly Total	\$13,875	\$8,250	\$6,375	\$3,000	\$2,610	\$2,415

Multi-jurisdictional discount option

Any user that signs up with TRAction Fintech in more than one jurisdiction for trade reporting services (e.g. ASIC, EMIR, MAS, HKMA, Canada, CFTC) will obtain a discount of 5% off our standard rates.

To find out more information about this discount option or to obtain further information about TRAction Fintech's services in other jurisdictions please contact us on +61 2 8960 7242 or info@tractionfintech.com.