

## ASIC OTC Derivatives Trade Reporting Pricing Schedule - ORRS

Set-up Fees	
Initial set up cost	\$0
Ongoing Fees	
Monthly Account Management Fee	\$350
Number of Open Positions / Trades at EOM	Cost per position in tier
0 – 100	\$6.70
101 – 1,000	\$3.90
1,001 – 10,000	\$1.00
10,001 – 30,000	\$0.10
30,001 – 100,000	\$0.05
100,001+	\$0.02

### ORRS Clients – As classified by DTCC

- (a) ORRS is available for reporting trades from Margin Forex and CFD brokers with primary business models providing OTC platforms trading in micro lots to retail clients for which a Product Disclosure Statement (PDS) is issued.
- (b) Counterparties on ORRS reported positions are predominantly retail investors with no ASIC reporting obligation, with small allowance made for institutional facing hedge positions (“Prime of Prime”) trades.

### Monthly Costing Example

Open Positions at end of month	50,000	25,000	10,000	5,000	2,000	200
Cost of open positions	\$16,180	\$14,680	\$13,180	\$8,180	\$5,180	\$1,060
Account Management Fee	\$350	\$350	\$350	\$350	\$350	\$350
<b>Monthly Total</b>	<b>\$16,530</b>	<b>\$15,030</b>	<b>\$13,530</b>	<b>\$8,530</b>	<b>\$5,530</b>	<b>\$1,410</b>

*Note: All amounts are expressed in Australian dollars and are exclusive of GST (as referred to in A New Tax System (Goods and Services Tax) Act 1999 (Cth)), consumption tax, value added tax or similar tax, which will be charged to (and payable by) the User where applicable.*

### **Three year commitment discount option**

Users of TRAction Fintech's services have the option to elect to enter into a three (3) year commitment period (**Long Term Commitment**). By electing the Long Term Commitment, all applicable then current fees, exclusive of tax and Early Termination Fee, as those terms are defined below, will be reduced by 10% for a period of three (3) years following the election. If the Long Term Commitment is terminated prior to the end of the applicable Long Term Commitment Period the User shall be subject to an "Early Termination Fee" equal to:

- (a) the difference between the total amount of fees due after application of the Long Term Commitment incentive and the total amount of fees that would have been due during the applicable portion of the Long Term Commitment Period had no incentive been provided ("Total Incentive Provided");
- (b) plus (b) 5% of the Total Incentive Provided or AUD\$700.00, whichever is greater.

To elect the Long Term Commitment, please complete the attached Long Term Commitment Election Form and email the completed form to [info@tractionfintech.com](mailto:info@tractionfintech.com).

### **Multi-jurisdictional discount option**

Any user that signed up with TRAction Fintech in more than one jurisdiction for trade reporting services (ASIC, EMIR, MAS, HKMA) will obtain a permanent discount of 5% off the published trade reporting rates.

To find out more information about this discount option or to obtain further information about TRAction Fintech's services in other jurisdictions please contact us on +61 2 8960 7242 or [info@tractionfintech.com](mailto:info@tractionfintech.com).